



2152

PATENT APPLICATION
Attorney's Do. No. 6647-15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Stephen R. Carter and Delos C. Jensen

Serial No. 09/653,713

Examiner: Not yet known

Filed: September 5, 2000

Group Art Unit: 2152

For: INTENTIONAL STANCE CHARACTERIZATION OF A GENERAL
CONTENT STREAM OF REPOSITORY

TRANSMITTAL LETTER

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APR 18 2001

Technology Center 2100

Box DD
Assistant Commissioner for Patents
Washington, D.C. 20231

Enclosed for filing in the above-referenced application are the following:

- ☒ Information Disclosure Statement
☒ PTO Form 1449 ☒ References cited
☒ Any deficiency or overpayment should be charged or credited to deposit
account number 13-1703. A duplicate copy of this sheet is enclosed.



20575

PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Ariel Rogson, Reg. No. 43,054

MARGER JOHNSON & McCOLLOM, P.C.
1030 SW Morrison Street
Portland, Oregon 97205
(503) 222-3613

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☐ ASSISTANT COMMISSIONER FOR
TRADEMARKS, 2900 CRYSTAL DRIVE
ARLINGTON, VA 22202-3513

ON

4.10.01

Deanna Brisco



PATENT APPLICATION
Attorney's Do. No. 6647-13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Stephen R. Carter, Delos C. Jensen and Ronald P. Millett

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Serial No.: 09/615,726

APR 18 2001

Filed: July 13, 2000

Technology Center 2100

For: A METHOD AND MECHANISM FOR THE CREATION, MAINTENANCE, AND
COMPARISON OF SEMANTIC ABSTRACTS

Box DD
Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith PTO Form 1449 and copies of patents and publications of which he is aware which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR Section 1.56.

The above-referenced application is related to co-pending U.S. Patent application Serial No. 09/109,804, filed July 2, 1998, and to co-pending U.S. Patent application Serial No. 09/512,963, filed February 25, 2000.

It is understood that the listed references will be considered in the examination of the application and that no separate copies of the same prior art are required to be provided since they were previously cited or transmitted in the foregoing prior application. 37 CFR Section 1.98(d). Copies of forms 892 and 1449 from the prior application are enclosed.

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Ariel Rogson
Reg. No. 43,054



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☐ ASSISTANT COMMISSIONER FOR
TRADEMARKS, 2909 CRYSTAL DRIVE
ARLINGTON, VA 22202-3513

ON February 6, 2001

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Stephen R. Carter, Delos C. Jensen and Ronald P. Millett

Serial No.:

Filing Date:

Title: A METHOD AND MECHANISM FOR THE CREATION, MAINTENANCE, AND
COMPARISON OF SEMANTIC ABSTRACTS

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ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

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APR 18 2001

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b), or
(Within three months of filing national application; or date of entry of International application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
- ☐ Statement under 37 CFR 1.97 (e), or
- ☐ a \$240 fee under 37 CFR 1.17 (p), or
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with either:
- ☐ Statement under 37 CFR 1.97 (e),
- ☐ Applicant hereby petitions for the consideration of the accompanying information disclosure statement (37 C.F.R. §1.97(d)(ii).
- ☐ a \$130.00 petition fee set forth in 37 CFR 1.17 (i)(1).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the

issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Ariel Rogson
Ariel Rogson
Reg. No. 43,054

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☐ ASSISTANT COMMISSIONER
TRADEMARKS, 2900 CRYSTAL
ARLINGTON, VA 22202-3613

ON

July 13, 2001
DM: [Signature]



Docket No. 0971-084

PATENT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicants: Carter, et al. : Group Art Unit: 2741
Serial No.: 09/109,804 :
Filed: July 2, 1998 :
For: **Method and Apparatus for Semantic Characterization**

INFORMATION DISCLOSURE STATEMENT

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APR 18 2001

Assistant Commissioner for Patents
Washington, DC 20231

Technology Center 2100

Dear Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98 Applicant herewith submits certain references which the Patent & Trademark Office may wish to consider in examining the above-identified application. The references are listed on the attached Form PTO-1449.

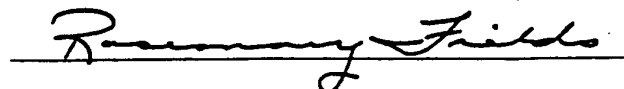
Copies of the listed references are also provided. With respect to reference C1, which is a 389 page book, submitted herewith are the table of contents, preface and index. Should the Examiner wish to review any other portion of reference C1, please contact the undersigned who will gladly provide copies.

At this time, Applicants do not know the date of publication of one or more of the references. Without making an admission of being prior art, the Applicants suggest that such references be treated as prior art until such time the actual date of publication can be ascertained.

No representation is made or intended that a prior art search has been made or that no better art than that listed is available.

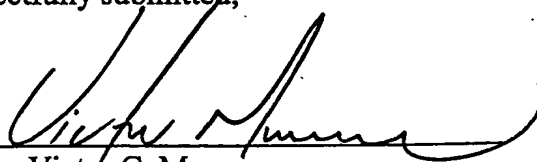
CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on December 3, 1998.



Respectfully submitted,

By



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